

Private Participant Disclosure—Natural Persons

Agenda Item / Transaction / Approval Request: _____

Name of Private Participant: _____

When Required: If the SFAA's governing board will be asked to vote for approval of a request involving a private participant whose identity will be known at the time of approval, a completed copy of this disclosure form is required for each private participant. As used herein, a private participant is a natural person who may directly benefit from, and is participating in or directly associated with, the requested approval.ⁱ All required disclosure forms must be submitted to SFAA at the same time the request for approval is submitted.

Instructions: (a) At the top of each page, identify the related agenda item / transaction / approval request.ⁱⁱ Also, provide the name of the private participant. (b) Each certification must be signed by the natural person identified above as the private participant. To identify the private participant, provide the natural person's full legal name and mailing address in the blank below. Please do not provide biographies or any other information not requested in this form. If needed, additional information will be requested.

I _____ (*print name*) hereby certify that the information provided herein is complete and correct. I certify that I am not acting on behalf of any undisclosed person or entity. My contact information follows:

Signature

address

Date

telephone

email

ⁱ Without limitation, the following *private* persons benefit directly from Authority approval and shall be considered private participants: party to approved legal settlement, borrower in connection with bonds receiving ceiling allocation, borrower in connection with conduit bond issuance, lessor, lessee, grantor, grantee, energy performance contractor, design professional, construction contractor, service contractor. Expressly not included are those whose participation is limited to enabling a transaction, such as accountants, abstractors, advisors (e.g., financial, legal, feasibility), appraisers, banks, brokers, consultants, surveyors, and underwriters.

ⁱⁱ Private participants should engage with their contact in the approval process to properly complete the "Agenda Item / Transaction / Approval Request" blank on the header of this page. The blank must contain information that unambiguously connects this disclosure form with the applicable item, transaction, or request for which approval is sought, e.g., the specific legal settlement, ceiling allocation request, conduit bond issuance, lease, real property conveyance, easement, or contract.